

RJL CAPITAL GROUP

SEC-Required Report on Routing of Customer Orders Third Quarter, 2019

<u>NYSE</u>	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
All Orders	68.86%	45.37%	44.12%	10.51%
Orders Routed To:				
SPDR	21.43%	0.70%	99.30%	0.00%
CITADEL	78.57%	57.56%	29.06%	13.38%
<u>NASDAQ</u>	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
All Orders	56.03%	26.56%	66.01%	7.42%
Orders Routed To:				
SPDR	9.86%	0.06%	99.94%	0.00%
CITADEL	80.70%	32.91%	57.89%	9.20%
MLCO	9.44%	0.00%	100.00%	0.00%
<u>AMEX & REGIONAL</u>	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
ALL ORDERS	41.42%	55.75%	32.67%	11.58%
Orders Routed To:				
SPDR	19.69%	0.00%	100.00%	0.00%
CITADEL	80.31%	69.42%	16.16%	14.42%
<u>OPTIONS</u>	Non-Directed Orders	Market Orders	Limit Orders	Other Orders
All Orders	78.46%	0.01%	99.99%	0.00%
MLO	100.00%	0.01%	99.990%	0.00%

Note regarding Payment for Order Flow Practices

The Firm, in its efforts to seek best execution, routes client orders to national securities exchanges, alternative trading systems (ATSs), including electronic communications networks (ECNs) and other market centers. The Firm may accept payment for order flow.

Further information about the source and nature of payment for order flow received by the Firm will be provided upon your written request.